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| APPLICANT INFORMATION |

Full Name: Click here to enter text.

Business Address: Click here to enter text.

Business Telephone: Click here to enter text.

Business Fax: Click here to enter text.

Cell Phone: Click here to enter text.

Professional Designations: CFA®  CFP®  ChFC®  CIC  PFS Other Click here to enter text.

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| SECURITIES AFFILIATIONS |

* Current Clearing Firm: Click here to enter text.
* FINRA Registrations (check all that apply)?

Series 4 Series 6 Series 7 Series 22 Series 24 Series 26

Series 52 Series 62 Series 63 Series 65 Series 66 Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Do you have any criminal or financial disclosures on your U4? Yes No

If “Yes,” provide a brief description: Click here to enter text.

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| INSURANCE AFFILIATION |

* Do you hold a life insurance license? Yes No
* Name of agency/company in which you conduct life insurance business? Click here to enter text.
* Are you currently affiliated or have you ever been affiliated with any Lincoln Financial Group (LFG) Insurance Companies? Yes No

If “Yes”, list affiliation: Click here to enter text.

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| BUSINESS MIX, ASSET UNDER MANAGEMENT AND PRODUCTION |

|  |  |  |  |
| --- | --- | --- | --- |
| Total # | % of Business | Product | **Product Sponsors** |
|  |  | Fee Based Accounts |  |
|  |  | Alternative Investments |  |
|  |  | Brokerage Accounts |  |
|  |  | Equities/Bonds |  |
|  |  | Equity Indexed Annuities |  |
|  |  | Fixed Annuities |  |
|  |  | Fixed Life Insurance |  |
|  |  | Mutual Funds |  |
|  |  | Options |  |
|  |  | Variable Annuities |  |
|  |  | Variable Insurance |  |
|  |  | Other |  |

**Important Note: Please discuss product offerings with your recruiter to ensure LFN has dealer agreements with those sponsors.**

* Total Assets under management: $Click here to enter text.
* Gross broker/dealer revenue (fees and/or commissions):

YTD: $Click here to enter text. Prior Year: $Click here to enter text.

* Gross insurance revenues (outside the broker/dealer):

YTD: $Click here to enter text. Prior Year: $Click here to enter text.

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| TECHNOLOGY |

Current technology being used (check all that applies):

CRM (Smart Office, RedTail, Act, etc.)

Automated Forms (LaserApp, etc.)

Imaging

Consolidated Statements

Other Click here to enter text.

Other Click here to enter text.

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| MISCELLANEOUS |

* Staff Members to move with you? Click here to enter text.
* Topics of most importance that we should focus on? Click here to enter text.